

# Important Announcements and Notes (Dec 3)

- Added video summarizing the different types of errors on Youtube
- Changed activation function to depend on the layer  $h^{(b)}$ . Different activations are allowed at different layers
- Model  $\leftrightarrow$  Predictor
- Companies release models with various levels of access

Black box access (No architecture or weights):

Chat GPT, Gemini, Claude

Open Source (Architecture and weights):

LLaMA, Gemma

- We studied a NN called a Multilayer Perceptron (MLP)

Other types of NNs include: CNN, RNN,  
Transformer

"GPT": Generative Pre-trained Transformer

- Deep learning refers to using NNs with many layers ( $B$  is large)
- "Learning" refers to the process of improving the weights with gradient descent
  - We don't hard code the weights
- Computing gradients of  $\hat{L}$  for a NN function class is called "Back Propagation"

# Language Models

Task: generating text

Ex: Input: "Once upon a time, in a land far away,"

Output: "there lived a wise old dragon  
who loved to read books."

Ex: Input: "Why did the chicken cross the road?"

Output: "To get to the other side."

Ex: How Chat GPT works

Input:

"You are an AI assistant trained to provide accurate, concise, and helpful responses to user inquiries."

+

"Why did the chicken cross the road?"

Output: "To get to the other side."

We will only study auto-regressive models

Ex: ChatGPT, Gemini, Claude

Auto-regressive: generates output sequentially by using its previous outputs and inputs

Ex: Input: "Why did the chicken cross the road?"

Output: "To"

Input: "Why did the chicken cross the road?  
To"

Output: "get"

Input: "Why did the chicken cross the road?  
To get"

Output: "to"

⋮

Input: "Why did the chicken cross the road?  
To get to the other side."

Output: "<EOS>" End Of sequence token

We want a model  $f: \mathcal{X} \rightarrow \mathcal{Y}$  where

$\vec{x} \in \mathcal{X}$  is a sequence of ~~words~~ tokens

$f(\vec{x}) \in \mathcal{Y}$  is the next ~~word~~ token

$\mathcal{Y} = \{ \text{all words} + \text{punctuation} + \langle \text{EOS} \rangle + \langle \text{PAD} \rangle \}$

$= \{1, \dots, K\}$       Vocabulary       $|\mathcal{Y}| = K$

token  $\in \mathcal{Y}$

Predicting discrete labels causes problems with optimization

Lets predict the probability of each token

and then pick the token with the largest probability

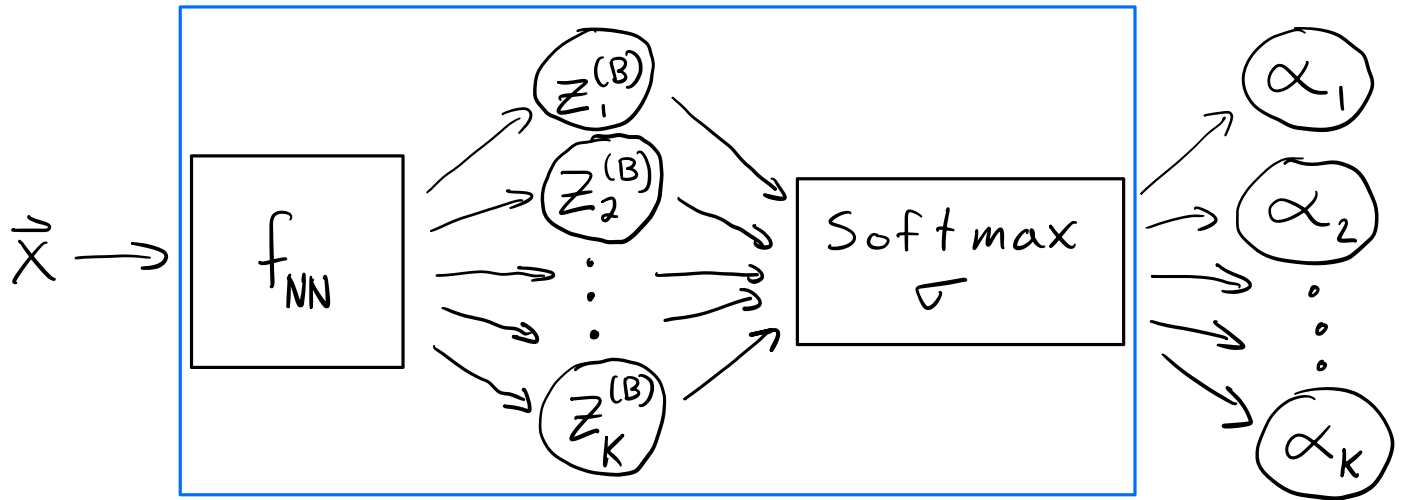
We want a model  $f_{\text{prob}}: \mathcal{X} \rightarrow \mathcal{Y}_{\text{prob}}$  where

$\vec{x} \in \mathcal{X}$  is a sequence of tokens

$f_{\text{prob}}(\vec{x}) \in \mathcal{Y}_{\text{prob}}$  is a vector of probabilities of all possible next tokens

$$\mathcal{Y}_{\text{prob}} = [0, 1]^K$$

We can use a NN  $f_{NN}(\vec{x})$  with  $h^{(B)}(z) = z$  and then apply softmax to get a probability



$$f_{\text{prob}}(\vec{x}) = \sigma(f_{NN}(\vec{x})) \in \mathcal{Y}_{\text{prob}} \quad \sum_{q=1}^K \alpha_q = 1, \alpha_q \in [0, 1]$$

Ex of  $f_{NN}$ : Transformer, Recurrent NN (RNN)

How do we represent a sequence of tokens  $S$  as a vector  $\vec{x} \in \mathbb{R}^{d+1}$ ?

$$S = (v_1, \dots, v_c)$$

Ex: "Why did the chicken"

$$S = ("Why", "did", "the", "chicken")$$

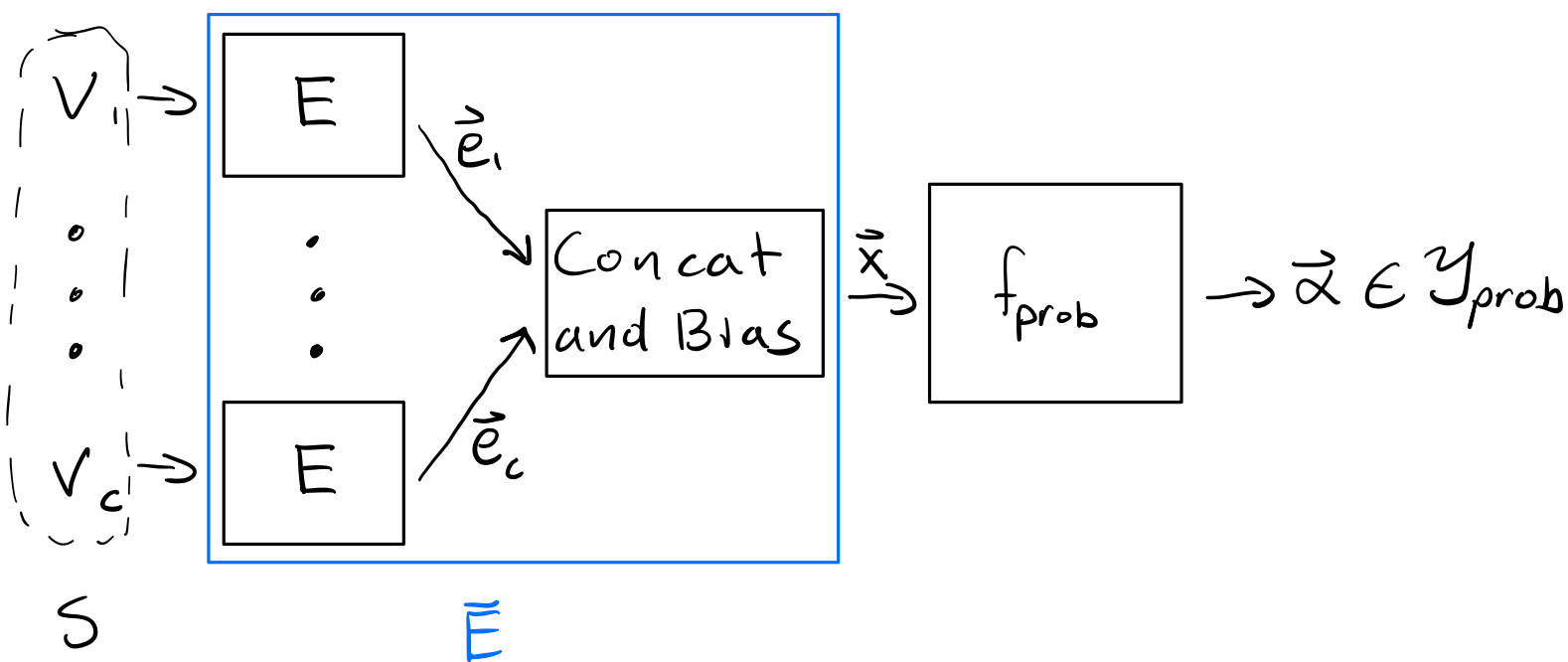
$$E: \mathcal{Y} \rightarrow \mathbb{R}^d$$

Embedding function

tokens

vectors

assume it is given



$$\vec{E}(S) = \vec{x} \in \mathcal{X} = \mathbb{R}^{d+1} \quad \text{where } d = c d'$$

$S$  is a sequence of at most  $c$  tokens

$d'$   
↑  
context length

To handle sequences shorter than  $c$  words  
a padding token " $\langle \text{PAD} \rangle$ " is added

Ex:  $c=3$

$S = (\text{"Why"}, \text{"did"}) \Rightarrow (\langle \text{PAD} \rangle, \text{"Why"}, \text{"did"})$



# Creating a Dataset

$$S = (V_1, V_2, V_3, \dots, V_c, V_{c+1}, V_{c+2}, \dots, V_a)$$

Diagram illustrating the structure of a dataset  $S$ . The sequence of tokens  $V_1, V_2, V_3, \dots, V_c, V_{c+1}, V_{c+2}, \dots, V_a$  is shown. Brackets above the sequence group tokens into segments:  $S_2$  (covering  $V_1, V_2$ ),  $S_{c+1}$  (covering  $V_c, V_{c+1}$ ), and  $S_c$  (covering  $V_1, \dots, V_c$ ). Brackets below the sequence group tokens into segments:  $S_1$  (covering  $V_1$ ),  $Y_1$  (covering  $V_2$ ),  $Y_2$  (covering  $V_3$ ),  $Y_c$  (covering  $V_c$ ), and  $Y_{c+1}$  (covering  $V_{c+1}$ ). Arrows indicate the mapping from  $S_i$  to  $Y_i$ .

Ex:  $C=2$ ,  $S = (\text{"Why"}, \text{"did"}, \text{"the"})$

$$S_1 = (\text{"<PAD>"}, \text{"Why"}), Y_1 = \text{"did"}$$

$$S_2 = (\text{"Why"}, \text{"did"}), Y_2 = \text{"the"}$$

Repeat for all the sequences of tokens that you have

$$\vec{X}_1 = \bar{E}(S_1), \vec{X}_2 = \bar{E}(S_2), \dots, \vec{X}_n = \bar{E}(S_n) \in \mathbb{R}^{d+1}$$

$$\vec{Y}_1 = \text{onehot}(Y_1) \in \{0, 1\}^K \subset [0, 1]^K$$

$$\vec{Y}_2 = \text{onehot}(Y_2) \in \{0, 1\}^K$$

$\vdots$

$$\vec{Y}_n = \text{onehot}(Y_n) \in \{0, 1\}^K$$

$$D = ((\vec{X}_1, \vec{Y}_1), \dots, (\vec{X}_n, \vec{Y}_n))$$

ERM Learner:

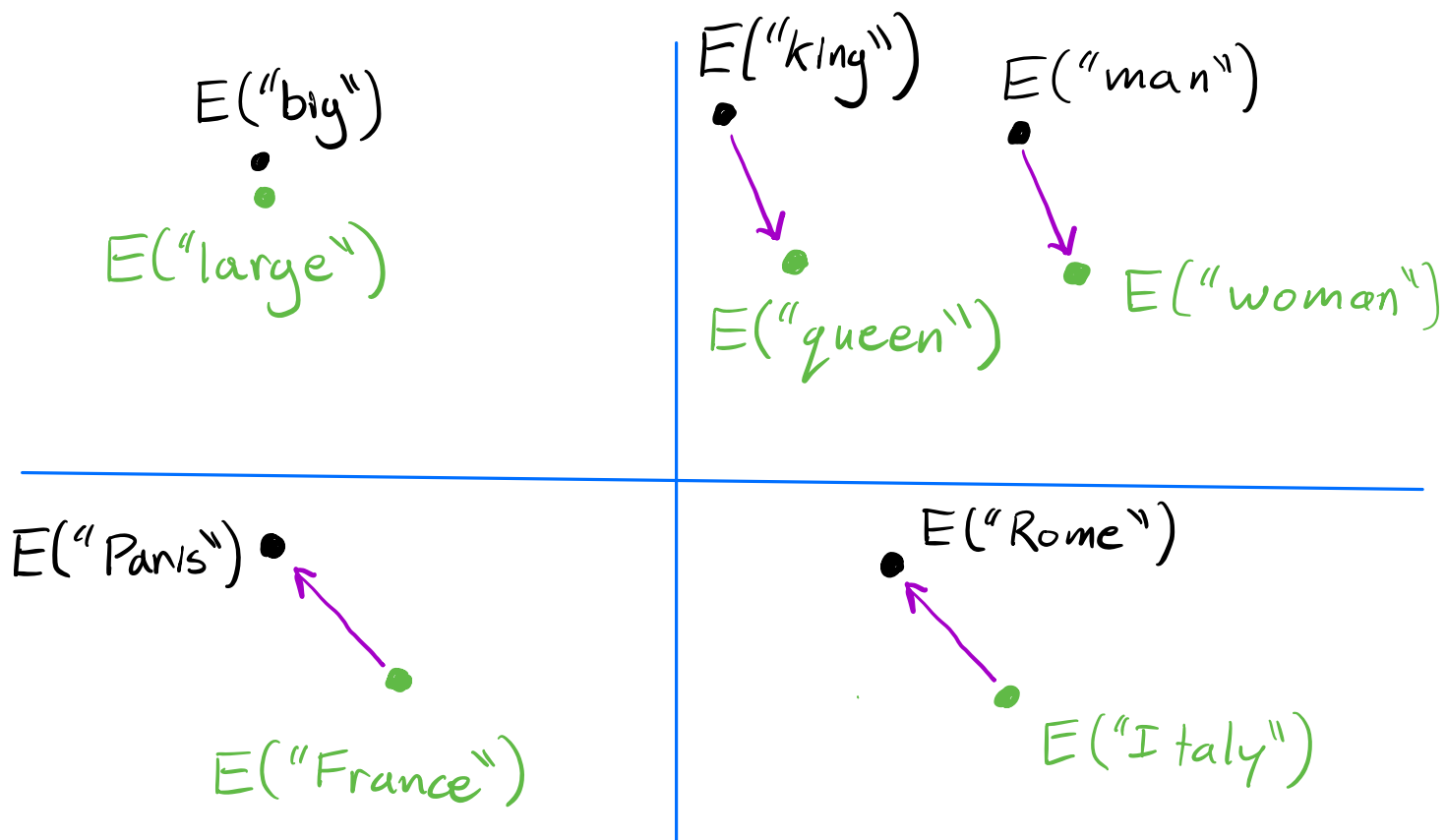
$$A(D) = \underset{f \in \mathcal{F}}{\operatorname{argmin}} \hat{L}(f)$$

$\mathcal{F} = \{ f \mid f: \mathcal{X} \rightarrow \mathcal{Y}_{\text{prob}} \text{ where } f = \sigma(f_{\text{NN}}) \text{ and } f_{\text{NN}} \text{ is a NN with a fixed architecture} \}$

$\ell$  is multiclass cross-entropy loss

# Embeddings

Ex:  $d' = 2$        $E: \mathcal{Y} \rightarrow \mathbb{R}^{d'}$



# Notes

- Embedding  $E$  can be learned
- Vocabulary can use characters instead of words or sub-words
- Most probable word is not always chosen, instead can sample based on probabilities
- "Large" language models (LLM)  
means a NN with a lot of weights

Ex: GPT-3 has 175 billion weights

LLaMA 3 405B has 405 billion  
weights

The brain has  $\sim$  100 trillion  
connections between neurons